

MONITORING LONG-TERM FOREST DYNAMICS IN THE ANDEAN

AMAZON: A COMPOSITE-BASED POST-CLASSIFICATION

CHANGE DETECTION APPROACH

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Abstract

Monitoring long-term forest dynamics is essential to assess human-induced land cover changes and a common practice nowadays using the Landsat archive (TLA). However, in areas such as the Andean Amazon (TAA), scarce data and disturbance by noise are a challenge not yet solved by trajectory-based methods. Here, we present an approach based on image compositing and post-classification change detection called C-PCCD. Developed as an open-source software named TFDynamics (demo at: <https://github.com/FSantosCodes>), we applied C-PCCD to The Upper Napo Watershed (TUNW), which represents the study area in the Ecuadorian Amazon. We found that despite limited data in TLA, C-PCCD still can conduct this assessment.

1. Introduction

TAA constitutes the most diverse and carbon-rich ecosystems in the world. Deforestation is its major threat while forest-regrowth is becoming an important land cover component [1]. Unsupervised algorithms [3] to monitor long-term forest-dynamics using TLA has reported limitations in TAA since persistent cloudiness and sensors failures have diminished data quantity and quality drastically. To overcome these limitations, we implemented C-PCCD which has not yet been applied in previous research [5]. Its procedure consists in compositing Landsat derivatives and merge them with terrain parameters to train different classifiers and obtain equitemporal land cover maps. These are later harmonized and passed to a change-detection function to extract forest-loss and regrowth patterns. We applied C-PCCD to TUNW and validated our results using high-resolution imagery from different time periods. Lastly, we compared our results with other forest-dynamics studies based on a similar approach [2] but also in human-interpretation [4] for corroborate our findings.

2. Study Area & Research Questions

TUNW covers an area of 12.445 sq.km. and has an altitude gradient from 260 to 5.600 m a.s.l.; resulting in diverse and complex landscape mosaics and rainfall regimes (1.100-5.300 mm per year). Its name came from the Napo River, a tributary of Amazon river and a historical waterway. Land cover in 2014 [4] indicates: 88% natural vegetation, 7% pastures, 2% permanent crops and 3% other covers. Main urban centers are: Francisco de Orellana (40.730 inh.) and Tena (23.307 inh.). Our research questions are:

- Is C-PCCD a feasible approach for monitor long-term forest dynamics in TAA? Which is the workflow required?
- Which forest dynamics patterns can be found in TUNW for the period 1992-2014? Are similar to other studies?

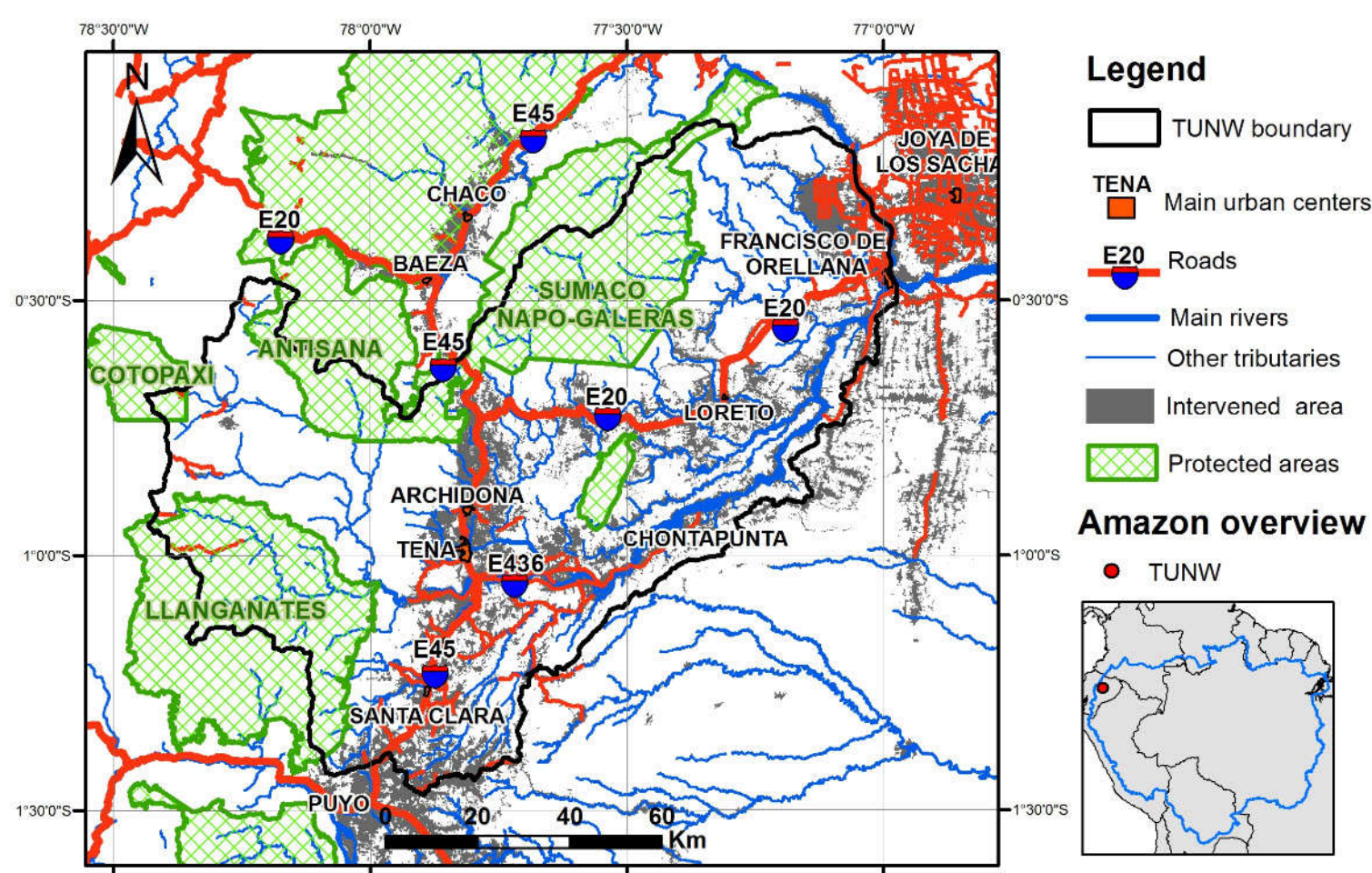


Figure 1: TUNW study area

3. Material & Methods

- Landsat pre-processing & other satellite imagery used
 - 288 Landsat images level L1T (72±5 for 4 footprints)
 - CFmask screening (filter <80 % no-data) + c-correction
 - Calculated derivatives: Vegetation indices, band ratios and tasseled cap transformation bands
 - SRTM terrain parameters: height, slope, aspect, etc.
 - High-resolution imagery: aerial photography, ASTER, SENTINEL-2, ALI

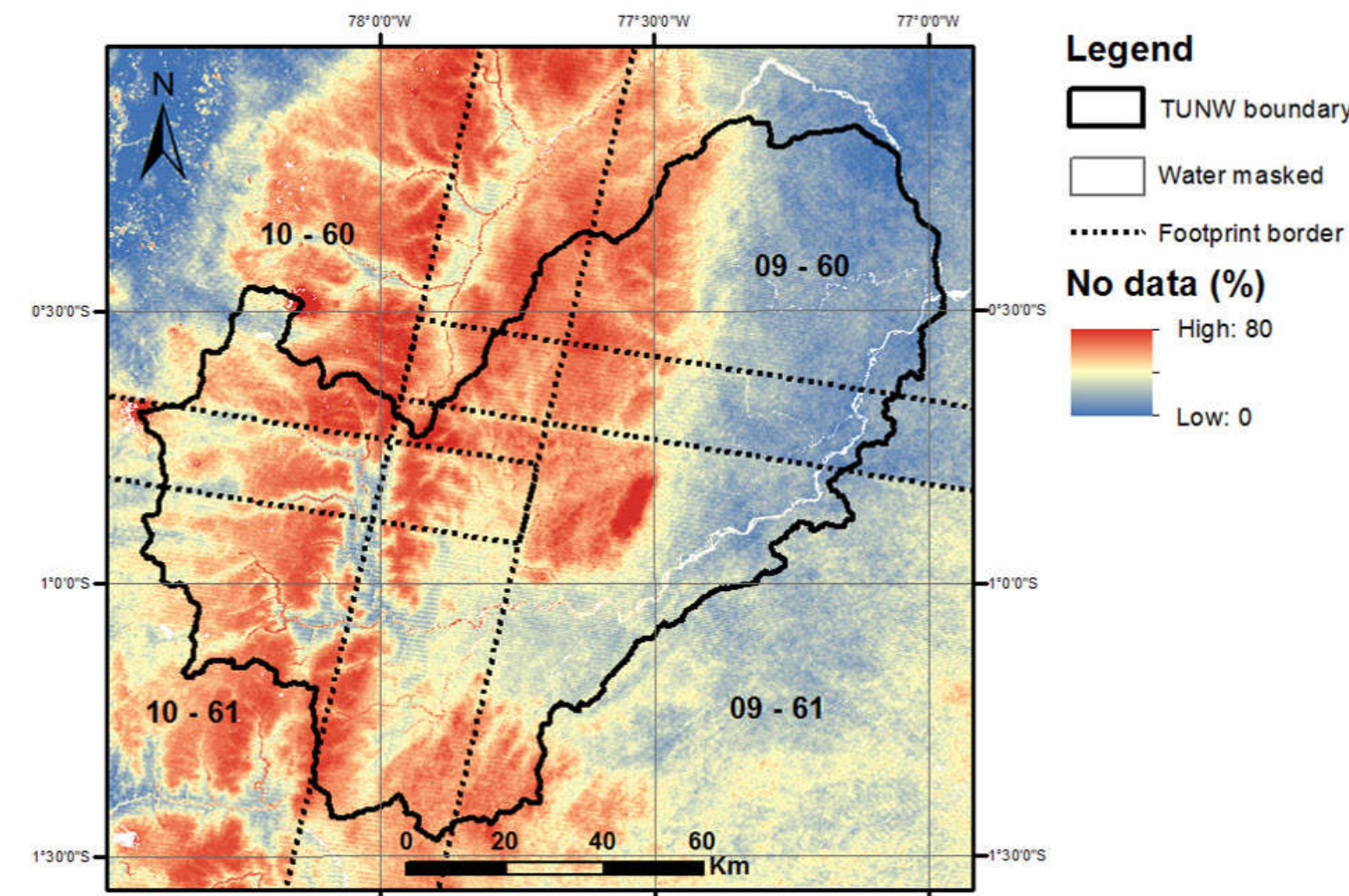


Figure 2: Overall no data % in TUNW

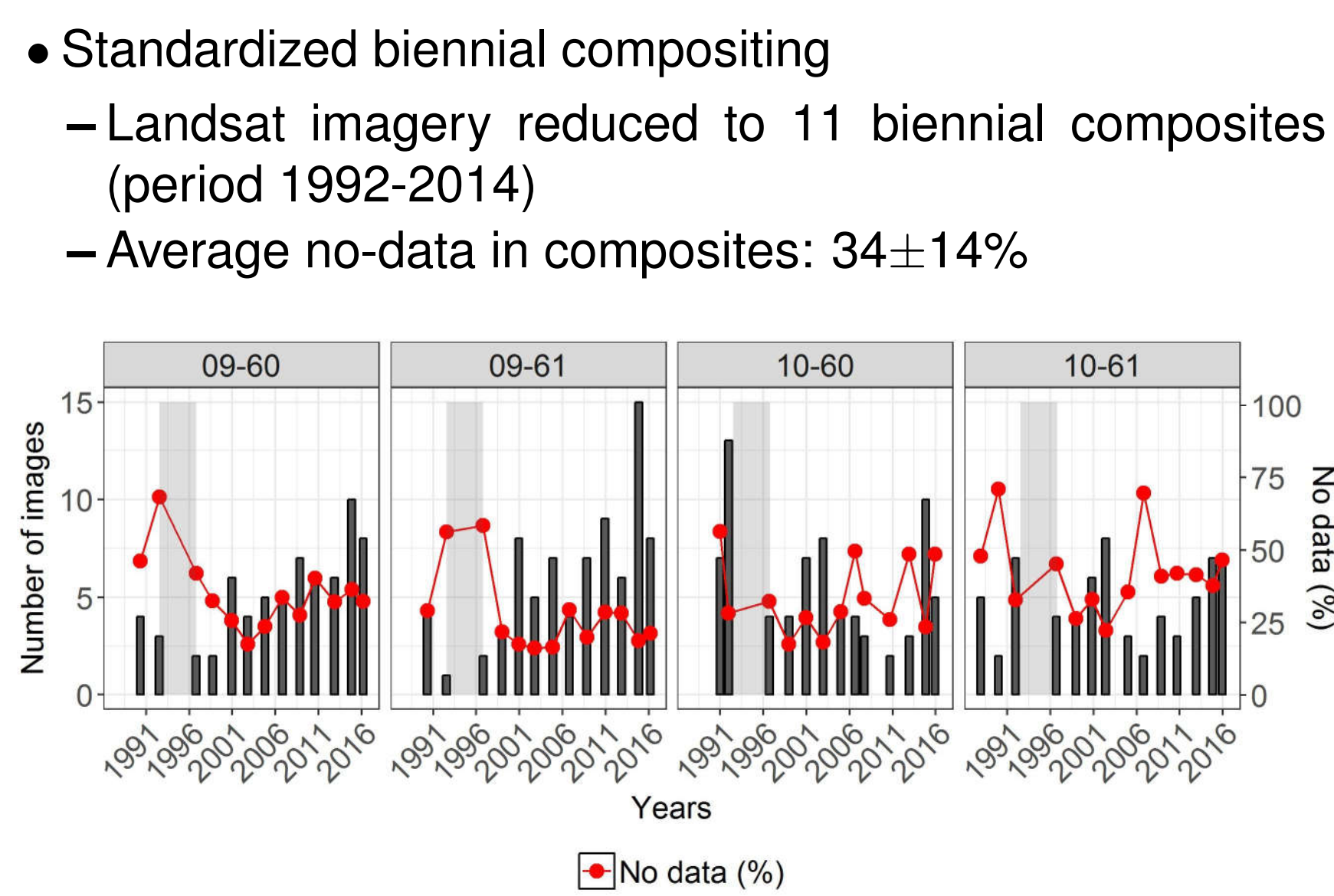


Figure 3: Number of images and no data % in composites

- Model training
 - 735 training samples (4 land cover classes for one composite date 2002)
 - 3016 testing samples (132-304 per composite date)
 - 15 classifiers tested with 3 variables ensembles contribution (25%, 50% and 75%) but neural networks with principal component analysis (pcaNNet) outperformed

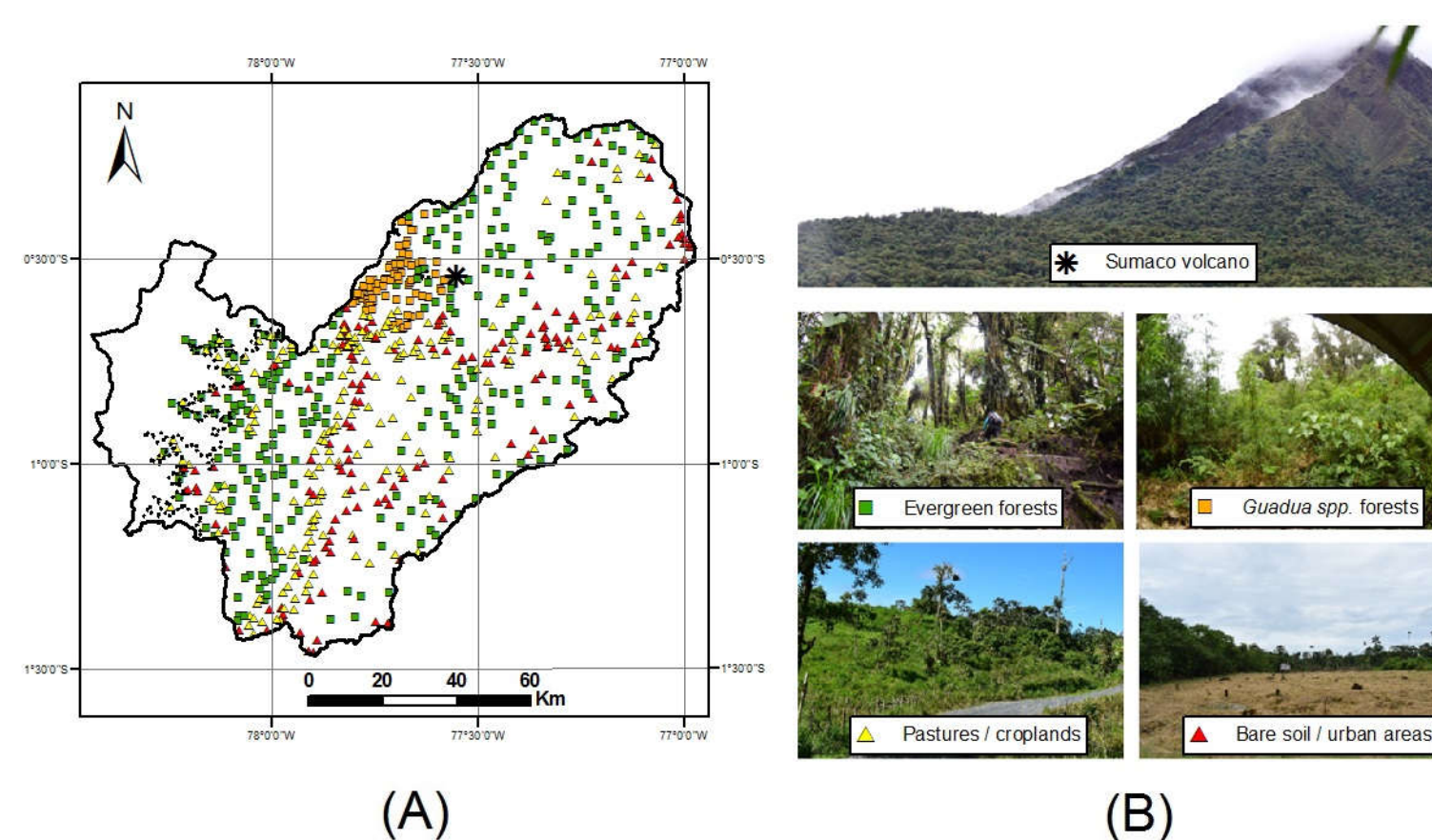


Figure 4: (A) Training samples at TUNW, (B) field-photos of the land cover classes mapped

- Post-classification change detection
 - Trained classifier is applied to all composites biennials
 - Land cover maps harmonized using reclassification rules (34 in total)
 - Filtered results are pass to a function to extract: stable forest and intervened areas; forest loss and regrowth areas by date; land cover intensities and forest age
 - 700 validation samples (50-100 per class, >2500-3500 m. distance between samples)

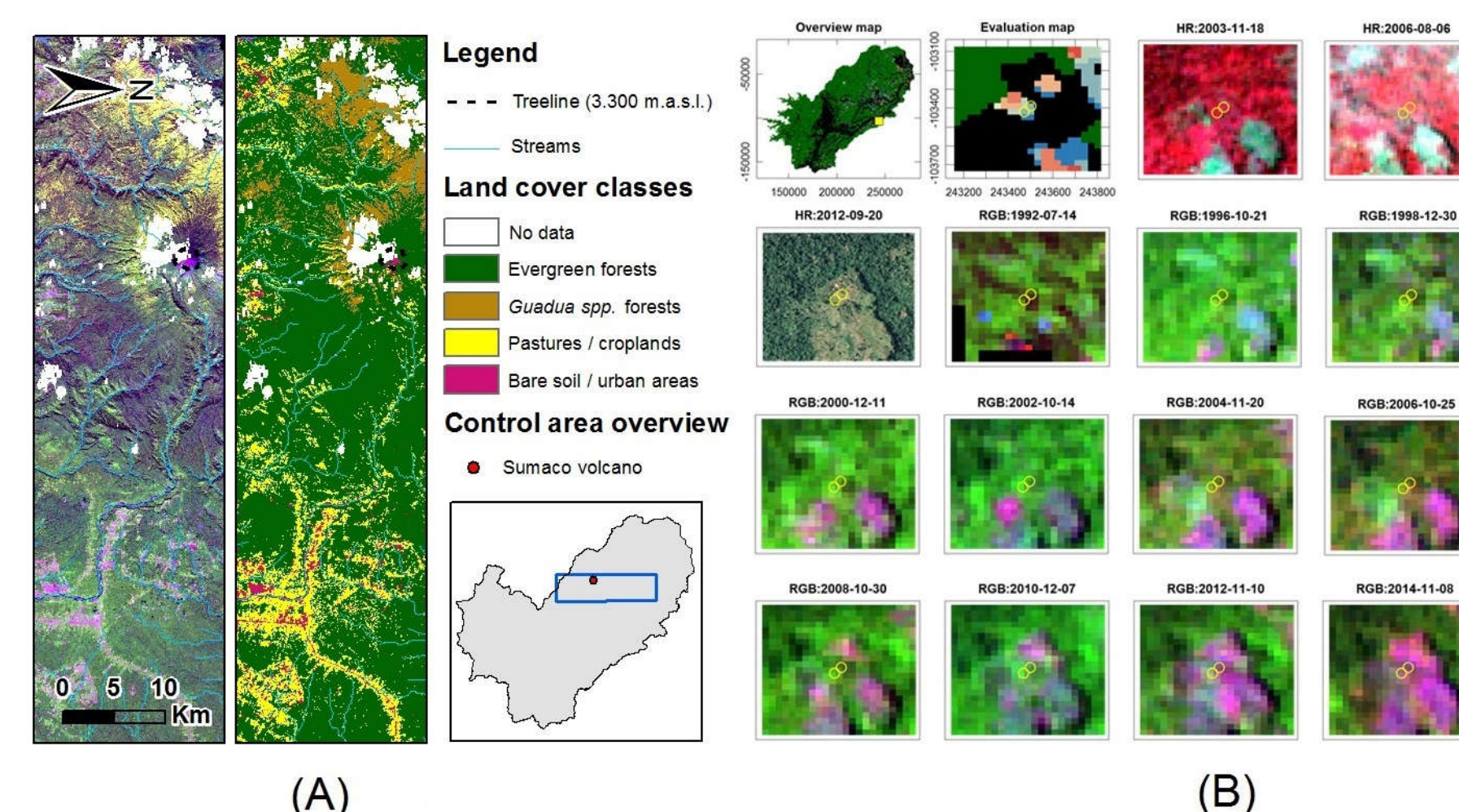


Figure 5: (A) 743 composition and pcaNNet land cover classification test. (B) Example of a validation sample plot.

4. Results

- Forest-loss and regrowth maps 1992-2014
 - Validation with high-resolution imagery indicated overall accuracies of 0.84 and 0.78 for forest loss and regrowth classes respectively
 - Comparison with other studies showed similar patterns for forest-loss and regrowth classes, specially with the one based in human-interpretation [4]. However, stable forest and intervened areas differ, affecting estimates of forest loss and regrowth areas but not trends found
 - Principal sources of error were caused by: topographic shadow, misclassification at stable-intervened class and unmasked artifacts

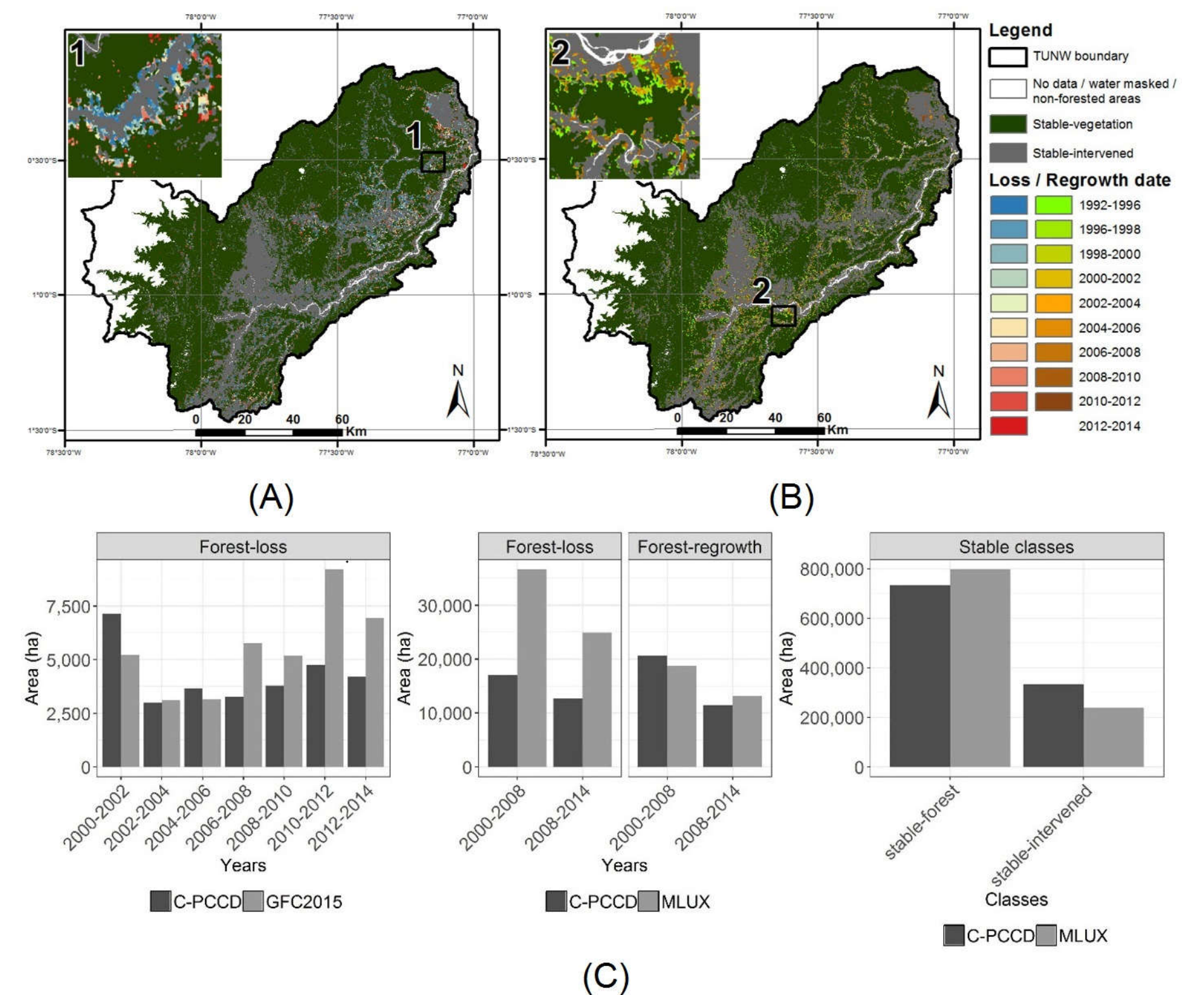


Figure 6: (A) Forest-loss and regrowth maps 1992-2014 for TUNW. (B) Areas comparison between C-PCCD and other studies (GFC2015 and MLUX refers to references [2] and [4] respectively)

5. Discussion & Conclusions

- Despite its limitations and errors, C-PCCD demonstrated to be a better (almost factible) approach for long-term forest dynamics in TAA rather than trajectory-based methods, as previous research in this project experimented in TUNW [6]
- Further development is required to solve known-errors and improve some phases (eg. reclassification rules design, interpretation of validation samples) and products (eg. implementation of more complex land cover legends, monitor other non-forested ecosystems)
- Regarding forest dynamics in TUNW, C-PCCD results match patterns found in other studies while shown more detail. Nonetheless, further research using other C-PCCD products (land cover intensities, forest age) could contribute to this objective.

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